

## Form 1

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Individual Estate Property Record and Report  
Asset Cases

Case No.: 18-58406-SMS

Case Name: AMERICAN UNDERWRITING SERVICES, LLC

Trustee Name: (300320) S. Gregory Hays

Date Filed (f) or Converted (c): 07/27/2018 (c)

§ 341(a) Meeting Date: 06/27/2018

For Period Ending: 06/30/2020

Claims Bar Date: 11/13/2018

Ref. #	1 Asset Description (Scheduled And Unscheduled (u) Property)	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Formally Abandoned OA=§554(a) abandon.	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1	Cash on hand Administered during Chapter 11 case.	0.00	0.00		0.00	FA
2	Checking Account at Bank of North Georgia, a division of Synovus, xxxxxx4718 Administered during Chapter 11 case.	250,000.00	0.00		0.00	FA
3	Checking Account at Bank of North Georgia, a Division of Synovus, xxxxxx5668 Administered during Chapter 11 case.	0.00	0.00		0.00	FA
4	Checking Account at Bank of North Georgia, a Division of Synovus, xxxxxx5650 Administered during Chapter 11 case.	0.00	0.00		0.00	FA
5	Undeposited checks Administered during Chapter 11 case.	100,000.00	0.00		0.00	FA
6	Lease depoist, Roberts Blvd., LLC Trustee vacated location. Any deposit was offset against unpaid rent.	12,000.00	0.00		0.00	FA
7	A/R 90 days old or less. Face amount = \$1086389.56. Doubtful/Uncollectible accounts = \$0. The majority of accounts receivable was administered during Chapter 11 case.	1,086,389.56	40,000.00		37,991.61	FA
8	A/R Over 90 days old. Face amount = \$0.Doubtful/Uncollectible accounts = \$0.	0.00	0.00		0.00	FA
9	5 office furniture sets (desk & credenza), 9 work stations, 13 office chairs, 16 desk chairs, 15 file cabinets, 1 sofa, 1 coffee table, 1 side table, 1 glass top stand up desk, 1 computer hutch, 2 conference tables. Valuation Method: Fair Market Value Abandoned per notice, Dkt # 110.	7,000.00	7,000.00	OA	0.00	FA
10	9 computers, 20 monitors Computers are being stored by Trustee. No significant value.	3,000.00	0.00		0.00	FA
11	Leasehold Interest: 1255 Roberts Blvd, Ste 102 Kennesaw GA Personal property was abandoned per notice, Dkt # 110. Trustee vacated space.	0.00	0.00		0.00	FA
12	www.americanunderwritingservices.com	Unknown	0.00		0.00	FA
13	Refunds - Bond, Benefits, retainers and Insurance (u)	1,000.00	1,000.00		4,216.73	FA
14	EastWest Bank Account # 0724 (u)	90,905.50	90,905.50		90,905.50	FA

**Form 1**

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**Individual Estate Property Record and Report  
Asset Cases**

**Case No.:** 18-58406-SMS

**Case Name:** AMERICAN UNDERWRITING SERVICES, LLC

**Trustee Name:** (300320) S. Gregory Hays

**Date Filed (f) or Converted (c):** 07/27/2018 (c)

**§ 341(a) Meeting Date:** 06/27/2018

**For Period Ending:** 06/30/2020

**Claims Bar Date:** 11/13/2018

Ref. #	1 Asset Description (Scheduled And Unscheduled (u) Property)	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Formally Abandoned OA=§554(a) abandon.	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
15	EastWest Bank Account # 0738 (u)	448,696.42	448,696.42		448,696.42	FA
16	EastWest Bank Account # 0864 (u)	65,922.00	65,922.00		65,922.00	FA
17	Funds Garnished by Prosight See Adversary # 18-05106. Partially settled per Order, Dkt # 173.	225,508.99	225,508.99		225,507.99	FA
18	Adversary 19-05202. Claims against Russell Wiley (u) Adversary is open but no recovery anticipated.	0.00	0.00		0.00	0.00
19	Adversary 19-05202 - Claims against Neil Wiley (u) Adversary is still pending. Resolution amount cannot be determined at this time.	1.00	1.00		0.00	1.00
20	Adversary 19-05202. Claims against Wiley Group, Inc., TW3 Transportation, LLC, TW3 Logistics LLC, Transport South Insurance Agency, LLC (u) Default judgment entered on 9/30/19 against The Wiley Group, Inc., Transport South Insurance Agency, LLC, TW3 Logistics LLC, TW3 Transportation, LLC. No recovery expected.	0.00	0.00		0.00	FA
<b>20</b>	<b>Assets Totals</b> (Excluding unknown values)	<b>\$2,290,423.47</b>	<b>\$879,033.91</b>		<b>\$873,240.25</b>	<b>\$1.00</b>

**Major Activities Affecting Case Closing:**

The Trustee filed Adversary case 19-05202. Complaint against Neil Wiley, James Russell Wiley, Wiley Group, Inc., TW3 Transportation, LLC, TW3 Logistics LLC, Transport South Insurance Agency, LLC on 5/1/19 seeking to recovery avoidable transfers. Adversary should be resolved in the next months. Upon resolution of adversary, Trustee will submit his TFR.

**Initial Projected Date Of Final Report (TFR):** 12/31/2020

**Current Projected Date Of Final Report (TFR):** 12/31/2020

07/30/2020

Date

/s/S. Gregory Hays

S. Gregory Hays

## Form 2

## Cash Receipts And Disbursements Record

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Case No.: 18-58406-SMS  
Case Name: AMERICAN UNDERWRITING SERVICES, LLC  
Taxpayer ID #: \*\*-\*6565  
For Period Ending: 06/30/2020

Trustee Name: S. Gregory Hays (300320)  
Bank Name: East West Bank  
Account #: \*\*\*\*\*0724 AUS DIP-0724  
Blanket Bond (per case limit): \$30,203,000.00  
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
07/27/18	{14}	Opening Chapter 7 Balance	Funds Turned Over from Ch 11 Trustee	1290-010	90,905.50		90,905.50
07/30/18	1017	Humana Emp Health Plan	Invoice # 666484647. Paid per Order, Dkt # 145.	2690-000		3,671.42	87,234.08
07/30/18	1018	Taylor Cline	Employee Expense Reimbursement. Paid per Order, Dkt # 145.	2690-000		28.80	87,205.28
07/30/18	1019	Stephen Uhler	Employee Expense Reimbursement. Paid per Order, Dkt # 145.	2690-000		67.23	87,138.05
07/30/18		Corporate Payroll Services	Payroll fee - P/E 7/30/18. Paid per Order, Dkt # 145.	2690-000		60.20	87,077.85
07/30/18		Corporate Payroll Services	Payroll taxes - P/E 7/30/18. Paid per Order, Dkt # 145.	2690-720		4,351.92	82,725.93
07/30/18		Corporate Payroll Services	Wages - Direct Deposit Employees - P/E 7/30/18. Paid per Order, Dkt # 145.	2690-720		11,157.88	71,568.05
08/01/18	1020	WNC LLC	Data Services. Paid per Order, Dkt # 145.	2690-000		772.60	70,795.45
08/17/18		East West Bank	ANALYSIS ACTIVITY FOR 07/18. Paid per Order, Dkt # 145.	2690-000		127.65	70,667.80
08/20/18		US Premium Finan	Payment 180820 206884402 - E&O Policy Premium - Paid per Order, Dkt # 139.	2420-750		2,707.34	67,960.46
08/28/18		American Underwriting Services	Transfer to RABO Acct # *****6600	9999-000		67,460.46	500.00
09/18/18		East West Bank	ANALYSIS ACTIVITY FOR 08/18. Paid per Order, Dkt # 145.	2600-000		34.52	465.48
09/28/18		Corporate Payroll Services	Payroll Fees - Quarterly Filing. Paid per Order, Dkt # 145.	2690-000		32.60	432.88
10/16/18		East West Bank	ANALYSIS ACTIVITY FOR 09/18. Paid per Order, Dkt # 145.	2600-000		133.30	299.58
11/20/18		East West Bank	ANALYSIS ACTIVITY FOR 10/18. Paid per Order, Dkt # 145.	2600-000		131.42	168.16
12/18/18		East West Bank	ANALYSIS ACTIVITY FOR 11/18. Paid per Order, Dkt # 145.	2600-000		131.48	36.68
12/31/18		Corporate Payroll Services	Payroll Fees - Quarterly Filing. Paid per Order, Dkt # 145.	2690-000		145.00	-108.32
01/02/19		American Underwriting Services	Transfer from AUS - EastWest Acct #0738	9999-000	200.00		91.68
01/02/19		East West Bank	Overdraft Fee. Paid per Order, Dkt # 145.	2600-000		30.00	61.68
01/08/19		American Underwriting Services	Transfer to RABO Acct # 5022566600	9999-000		61.68	0.00

## COLUMN TOTALS

91,105.50

91,105.50

\$0.00

Less: Bank Transfers/CDs

200.00

67,522.14

## Subtotal

90,905.50

23,583.36

Less: Payments to Debtors

0.00

## NET Receipts / Disbursements

\$90,905.50

\$23,583.36

## Form 2

## Cash Receipts And Disbursements Record

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Case No.: 18-58406-SMS

Trustee Name: S. Gregory Hays (300320)

Case Name: AMERICAN UNDERWRITING SERVICES, LLC

Bank Name: East West Bank

Taxpayer ID #: \*\*-\*\*\*6565

Account #: \*\*\*\*\*0738 AUS DIP-0738

For Period Ending: 06/30/2020

Blanket Bond (per case limit): \$30,203,000.00

Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
07/27/18	{15}	Opening Chapter 7 Balance	Funds Turned Over from Ch 11 Trustee	1290-010	448,696.42		448,696.42
07/31/18	{7}	Customer Deposits	Various Customer - Insurance Premiums	1121-000	9,747.62		458,444.04
07/31/18	{7}	Dewey Young	Insurance Premiums (Deposit Reversed 8/3/18)	1121-000	90,869.92		549,313.96
08/01/18	{7}	HUB INTERNATIONAL LIMITED	Insurance Premium Collections	1121-000	22,001.37		571,315.33
08/03/18	{7}	Dewey Young	Reverse Deposit from 8/1/18	1121-000	-90,869.92		480,445.41
08/08/18	{7}	US Premium Finance	Insurance Premium Collection	1121-000	2,304.03		482,749.44
08/28/18		American Underwriting Services	Transfer to RABO Acct # xxxxxx6600	9999-000		482,249.44	500.00
09/20/18	{7}	Top Premium Finance	Insurance Premium Collection	1121-000	2,371.00		2,871.00
01/02/19		American Underwriting Services	Transfer to AUS - EastWest Acct #0724	9999-000		200.00	2,671.00
01/08/19		American Underwriting Services	Transfer to RABO Acct # xxxxx6600	9999-000		2,671.00	0.00

## COLUMN TOTALS

485,120.44

485,120.44

\$0.00

Less: Bank Transfers/CDs

0.00

485,120.44

## Subtotal

485,120.44

0.00

Less: Payments to Debtors

0.00

## NET Receipts / Disbursements

\$485,120.44

\$0.00

Form 2

Cash Receipts And Disbursements Record

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Case No.: 18-58406-SMS  
Case Name: AMERICAN UNDERWRITING SERVICES, LLC  
Taxpayer ID #: \*\*-\*\*\*6565  
For Period Ending: 06/30/2020

Trustee Name: S. Gregory Hays (300320)  
Bank Name: East West Bank  
Account #: \*\*\*\*\*0864 AUS Opr - Comm  
Blanket Bond (per case limit): \$30,203,000.00  
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
08/01/18	{16}	Opening Chapter 7 Balance	Funds Turned Over from Ch 11 Trustee	1290-010	65,922.00		65,922.00
08/28/18		American Underwriting Services	Transfer to RABO Acct # xxxxxx6600	9999-000		65,422.00	500.00
01/08/19		American Underwriting Services	Transfer to RABO Acct # xxxxx6600	9999-000		500.00	0.00
<b>COLUMN TOTALS</b>					<b>65,922.00</b>	<b>65,922.00</b>	<b>\$0.00</b>
Less: Bank Transfers/CDs					0.00	65,922.00	
<b>Subtotal</b>					<b>65,922.00</b>	<b>0.00</b>	
Less: Payments to Debtors						0.00	
<b>NET Receipts / Disbursements</b>					<b>\$65,922.00</b>	<b>\$0.00</b>	

## Form 2

## Cash Receipts And Disbursements Record

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Case No.: 18-58406-SMS  
Case Name: AMERICAN UNDERWRITING SERVICES, LLC  
Taxpayer ID #: \*\*-\*6565  
For Period Ending: 06/30/2020

Trustee Name: S. Gregory Hays (300320)  
Bank Name: Mechanics Bank  
Account #: \*\*\*\*\*6600 Checking  
Blanket Bond (per case limit): \$30,203,000.00  
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
08/29/18		American Underwriting Services	Transfer from Account # 0724 at East West Bank	9999-000	67,460.46		67,460.46
08/29/18		American Underwriting Services	Transfer from Account # 0864 at East West Bank	9999-000	65,422.00		132,882.46
08/29/18		American Underwriting Services	Transfer from Account # 0738 at East West Bank	9999-000	482,249.44		615,131.90
08/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		29.49	615,102.41
09/05/18	{13}	Supportive Insurance Services LLC	Refund of Retainer	1229-000	1,000.00		616,102.41
09/11/18	101	Guardian	Group # 521172. Paid per order dated 9/27/18, docket # 145.	2690-000		669.22	615,433.19
09/13/18	{13}	WageWorks	Reimbursement of cobra insurance premiums (Angela Wiley). See adjustment on 9/20/18.	1229-000	534.20		615,967.39
09/13/18	102	U.S. Premium Finance	Errors and omissions tail policy. Paid per Order, Dkt # 151.	2420-750		2,707.34	613,260.05
09/18/18	{13}	WageWorks, inc	August 2018 Premium Reimbursement/refund	1229-000	1,100.71		614,360.76
09/20/18	103	Stephen Uhler	Constant Contact payment reimbursement for announcement to agents and carriers. Paid per order, docket # 145.	2690-000		45.00	614,315.76
09/20/18	104	Warrington Network Consultants	Data hosting and services. Invoice #CW 5513 (\$648.75) and invoice #MSP-5514 (\$117.40). Paid per order, dkt # 145.	2690-000		766.15	613,549.61
09/20/18	{13}	WageWorks, Inc	Deposit Adjustment. Amount recorded as \$534.20 instead of actual of \$534.02. See deposited dated 9/13/18.	1229-000	-0.18		613,549.43
09/28/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		471.96	613,077.47
09/30/18		WageWorks, Inc	Adjustment. Transaction added in Error during reconciliation process and later reversed. Deposit Adjustment. Amount recorded as \$534.20 instead of actual of \$534.02. See deposit dated 9/13/18.	1229-000	534.20		613,611.67
09/30/18		WageWorks, Inc	Adjustment. Transaction added in Error during reconciliation process and later reversed. Deposit Adjustment. Amount recorded as \$534.20 instead of actual of \$534.02. See deposit dated 9/13/18.	1229-002	-534.20		613,077.47
10/03/18	105	WNC LLC	Data hosting and IT services. Invoice #CW 5629 (\$67.50) and invoice #MSP-5623 (\$117.40). Paid per order, dkt # 145	2690-000		184.90	612,892.57
10/03/18	106	Hays Financial Consulting, LLC	Reimbursement of email hosting charged by Intermedia paid by Hays Financial. Paid per order, dkt # 145.	2690-000		1,534.22	611,358.35
10/15/18	107	U.S. E&O Brokers	Errors and omissions tail policy . Paid per Order, Dkt # 151.	2420-750		37,700.00	573,658.35
10/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		540.69	573,117.66

Page Subtotals:

\$617,766.63

\$44,648.97

## Form 2

## Cash Receipts And Disbursements Record

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**Case No.:** 18-58406-SMS  
**Case Name:** AMERICAN UNDERWRITING SERVICES, LLC  
**Taxpayer ID #:** \*\*-\*6565  
**For Period Ending:** 06/30/2020

**Trustee Name:** S. Gregory Hays (300320)  
**Bank Name:** Mechanics Bank  
**Account #:** \*\*\*\*\*6600 Checking  
**Blanket Bond (per case limit):** \$30,203,000.00  
**Separate Bond (if applicable):** N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
11/06/18	108	Hays Financial Consulting, LLC	Reimbursement of email hosting charged by Intermedia paid by Hays Financial. Paid per order, dkt # 145.	2690-000		770.43	572,347.23
11/06/18	109	Michelle Madison	14 Hrs @ \$50/hr. Thru 11/5/18. Consulting work for research and compilation of data. Paid per order, dkt # 145.	2690-000		700.00	571,647.23
11/06/18	110	WNC LLC	Data hosting and IT services. Invoice CW 5720 (\$502.35) and invoice MSP-5689 (\$117.40). Paid per order, Dkt # 145.	2690-000		619.75	571,027.48
11/28/18	111	NRAI, Inc.	Registered Agent fee. invoice Number *****72-00. Paid per order, Dkt # 145.	2690-000		48.00	570,979.48
11/28/18	112	WNC LLC	Data hosting and IT services, Docket # 145. Invoice # MSP-5752. Paid per Order, dkt # 145.	2690-000		117.40	570,862.08
12/10/18	113	Michelle Madison	5 Hrs @ \$50/hr. Thru 12/5/18 . Consulting work for research and compilation of data. Paid per order, dkt # 145.	2690-000		250.00	570,612.08
12/10/18	114	WNC LLC	Data hosting and IT services. Invoice # CW5782. Paid per Order, Dkt # 145.	2690-000		705.79	569,906.29
12/10/18	115	Hays Financial Consulting, LLC	Reimbursement of email hosting charged by Intermedia paid by Hays Financial. Paid per order, dkt # 145.	2690-000		768.24	569,138.05
12/18/18	{13}	Global Surety, LLC	Ch 11 Bond # 016215806 Refund	1229-000	1,582.00		570,720.05
01/09/19		American Underwriting Services (East West Bank)	Transfer from Closed DIP Accounts at East West Bank	9999-000	3,232.68		573,952.73
01/28/19	116	US Premium Finance	Return of Funds Erroneously paid to Trustee. Paid per Order, Dkt # 168.	8500-002		46,369.90	527,582.83
01/28/19	117	AIG	Payment of Chapter 11 Premiums. Paid per Order, Dkt # 168.	6990-000		55,442.98	472,139.85
02/05/19	118	American Millennium Insurance Company	Payment of Chapter 11 Premiums. Paid per Order, Dkt # 168.	6990-000		58,091.52	414,048.33
02/05/19	119	American Southern Insurance Company	Payment of Chapter 11 Premiums. Paid per Order, Dkt # 168.	6990-000		51,727.49	362,320.84
02/05/19	120	Capital Insurance Brokers	Over payment of Check # 2732. Payment of Chapter 11 Premiums. Paid per Order, Dkt # 168.	6990-000		150.00	362,170.84
02/25/19	{17}	The State Court of Gwinnett County	Pro Sight Garnished Funds released to Trustee per settlement and Order, Dkt. # 173.	1141-000	225,507.99		587,678.83
03/27/19	121	WNC LLC	Invoices MSP-5828, MSP-5894,CW-5918,MSP-5963,MSP-6034.IT services January 2019 - March 2019. Paid per Order, Docket # 188.	2690-000		570.85	587,107.98
03/27/19	122	Hays Financial Consulting, LLC	Reimbursement of Jan and Feb 2019 Debtor Email Hosting charges at Intermedia. Paid per docket # 188.	2690-000		1,535.36	585,572.62
03/27/19	123	Corporate Payroll Services	Customer ID: AMUNDE. Paid per Order, Docket # 188.	2690-000		849.00	584,723.62
04/17/19	124	American Inter-Fidelity Exchange	Payment of Chapter 11 Premiums. Paid per Order, Dkt # 168.	6990-000		75,178.37	509,545.25

Page Subtotals:

\$230,322.67

\$293,895.08

## Form 2

## Cash Receipts And Disbursements Record

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Case No.: 18-58406-SMS

Trustee Name: S. Gregory Hays (300320)

Case Name: AMERICAN UNDERWRITING SERVICES, LLC

Bank Name: Mechanics Bank

Taxpayer ID #: \*\*-\*\*\*6565

Account #: \*\*\*\*\*6600 Checking

For Period Ending: 06/30/2020

Blanket Bond (per case limit): \$30,203,000.00

Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
04/22/19	125	ACE Westchester Specialty Group	Payment of Chapter 11 Premiums. Paid per Order, Dkt # 168.	6990-000		1,203.62	508,341.63
05/16/19	126	Brit UW Limited	Premium payments approved for payment per Order, Dkt # 193. Stopped on 08/26/2019	6950-000		27,470.34	480,871.29
05/17/19	127	Integro Insurance Brokers Limited	Payment of Premiums per Order, Dkt # 193. - PAYMENT STOPPED. REPLACEMENT ORDER TO CORRECT PAYEE TO BE PREPARED. Stopped on 08/19/2019	6950-000		123,394.58	357,476.71
08/19/19	127	Integro Insurance Brokers Limited	Payment of Premiums per Order, Dkt # 193. - PAYMENT STOPPED. REPLACEMENT ORDER TO CORRECT PAYEE TO BE PREPARED. Stopped: check issued on 05/17/2019	6950-000		-123,394.58	480,871.29
08/26/19	126	Brit UW Limited	Premium payments approved for payment per Order, Dkt # 193. Stopped: check issued on 05/16/2019	6950-000		-27,470.34	508,341.63
08/29/19	128	Hays Financial Consulting, LLC	Reimbursement of March and April 2019 Debtor Email Hosting charges at Intermedia. Paid per docket # 188.	2690-000		899.40	507,442.23
08/29/19	129	WNC LLC	Invoices MSP-6114, MSP-6179,MSP-6242,MSP-6298,MSP-6358. IT services Apr 2019 - Aug 2019. Paid per Order, Dkt#188.	2690-000		587.00	506,855.23
10/03/19	130	Brit UW Limited	Premium payments approved for payment per Order, Dkt # 193 and Dkt # 214.	6950-000		27,470.34	479,384.89
10/03/19	131	Integro Insurance Brokers Limited	Payment of Premiums per Order, Dkt # 193 and Dkt # 214.	6950-000		123,394.58	355,990.31
10/03/19	132	WNC LLC	Invoices MSP-6421, CW5984 and CW6196. IT and data hosting services. Paid per Orders, Dkt#188 and Dkt # 216.	2690-000		258.65	355,731.66
10/18/19	133	Michelle Madison	4 Hours @ \$50/hour. Consulting work for claim payment research. Paid per order dated 10/3/19, docket # 216.	2690-000		200.00	355,531.66
11/06/19	134	WNC LLC	Invoice MSP-6483. IT and data hosting services. Paid per Order, Dkt#188. Voided on 11/06/2019	2690-000		258.65	355,273.01
11/06/19	134	WNC LLC	Invoice MSP-6483. IT and data hosting services. Paid per Order, Dkt#188. Voided: check issued on 11/06/2019	2690-000		-258.65	355,531.66
11/06/19	135	WNC LLC	Invoice MSP-6483. IT and data hosting services. Paid per Order, Dkt#188.	2690-000		117.40	355,414.26
12/02/19	136	WNC LLC	Invoice MSP-6544. IT and data hosting services. Paid per Order, Dkt#188.	2690-000		117.40	355,296.86
12/04/19	{7}	Cottingham & Butler	Surplus Lines & Stamping Fees on policies	1121-000	1,567.59		356,864.45

Page Subtotals:

\$1,567.59

\$154,248.39



**Form 2**

**Cash Receipts And Disbursements Record**

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**Case No.:** 18-58406-SMS

**Case Name:** AMERICAN UNDERWRITING SERVICES, LLC

**Taxpayer ID #:** \*\*-\*\*\*6565

**For Period Ending:** 06/30/2020

**Trustee Name:** S. Gregory Hays (300320)

**Bank Name:** Mechanics Bank

**Account #:** \*\*\*\*\*6600 Checking

**Blanket Bond (per case limit):** \$30,203,000.00

**Separate Bond (if applicable):** N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
01/16/20	137	WNC LLC	Invoice MSP-6622. IT and data hosting services December 2019. Paid per Order, Dkt#188.	2690-000		117.40	356,747.05
01/30/20		Transition transfer Debit	Transition transfer debit. Transfer from Mechanics Bank account to East West Bank account	9999-000		356,747.05	0.00

**COLUMN TOTALS**

**849,656.89**

**849,656.89**

**\$0.00**

Less: Bank Transfers/CDs

618,364.58

356,747.05

**Subtotal**

**231,292.31**

**492,909.84**

Less: Payments to Debtors

0.00

**NET Receipts / Disbursements**

**\$231,292.31**

**\$492,909.84**

## Form 2

## Cash Receipts And Disbursements Record

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Case No.: 18-58406-SMS

Case Name: AMERICAN UNDERWRITING SERVICES, LLC

Taxpayer ID #: \*\*-\*\*\*6565

For Period Ending: 06/30/2020

Trustee Name: S. Gregory Hays (300320)

Bank Name: East West Bank

Account #: \*\*\*\*\*0022 Demand Deposit Account

Blanket Bond (per case limit): \$30,203,000.00

Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
01/30/20		Transition Transfer Credit	Transition Transfer Credit. Transfer from Mechanics Bank account to East West Bank account	9999-000	356,747.05		356,747.05
02/06/20	1000	Hays Financial Consulting, LLC	Reimbursement of Feb 2020 Debtor Email Hosting charges at Intermedia. Paid per docket # 188.	2690-000		44.97	356,702.08
02/06/20	1001	WNC LLC	Invoice MSP-6670. Monthly IT and data hosting services. Paid per Order, Dkt#188.	2690-000		118.00	356,584.08
03/03/20	1002	WNC LLC	Invoice MSP-6737. Monthly IT and data hosting services (Feb 2017). Paid per Order, Dkt#188.	2690-000		118.00	356,466.08
03/31/20		East West Bank	Bank and Technology Service Fee	2600-000		284.88	356,181.20
04/02/20	1003	WNC LLC	Invoice MSP-6804. Monthly IT and data hosting services (March 2020). Paid per Order, Dkt#188.	2690-000		118.00	356,063.20
04/02/20	1004	Hays Financial Consulting, LLC	Reimbursement of March 2020 Debtor Email Hosting charges at Intermedia. Paid per docket # 188.	2690-000		44.97	356,018.23
04/10/20	1005	Hays Financial Consulting, LLC	Reimbursement of April 2020 Debtor Email Hosting charges at Intermedia. Paid per docket # 188.	2690-000		44.97	355,973.26
04/30/20		East West Bank	Bank and Technology Services Fees	2600-000		569.10	355,404.16
05/08/20	1006	WNC LLC	Invoice MSP-6885. Monthly IT and data hosting services (April 2020). Paid per Order, Dkt#188.	2690-000		118.00	355,286.16
05/08/20	1007	Hays Financial Consulting, LLC	Reimbursement of May 2020 Debtor Email Hosting charges at Intermedia. Paid per docket # 188.	2690-000		52.95	355,233.21
05/29/20		East West Bank	Bank and Technology Services Fees	2600-000		548.97	354,684.24
06/04/20	1008	WNC LLC	Invoice MSP-6946. Monthly IT and data hosting services (May 2020). Paid per Order, Dkt#188.	2690-000		118.00	354,566.24
06/04/20	1009	Hays Financial Consulting, LLC	Reimbursement of June 2020 Debtor Email Hosting charges at Intermedia. Paid per docket # 188.	2690-000		48.96	354,517.28
06/30/20		East West Bank	Bank and Technology Services Fees	2600-000		604.53	353,912.75

## COLUMN TOTALS

356,747.05

2,834.30

\$353,912.75

Less: Bank Transfers/CDs

356,747.05

0.00

## Subtotal

0.00

2,834.30

Less: Payments to Debtors

0.00

## NET Receipts / Disbursements

\$0.00

\$2,834.30

**Form 2**

**Cash Receipts And Disbursements Record**

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**Case No.:** 18-58406-SMS  
**Case Name:** AMERICAN UNDERWRITING SERVICES, LLC  
**Taxpayer ID #:** \*\*\_\*\*\*6565  
**For Period Ending:** 06/30/2020

**Trustee Name:** S. Gregory Hays (300320)  
**Bank Name:** East West Bank  
**Account #:** \*\*\*\*\*0022 Demand Deposit Account  
**Blanket Bond (per case limit):** \$30,203,000.00  
**Separate Bond (if applicable):** N/A

Net Receipts:	\$873,240.25
Plus Gross Adjustments:	\$0.00
Less Payments to Debtor:	\$0.00
Less Other Noncompensable Items:	\$46,369.90
Net Estate:	\$826,870.35

<b>TOTAL - ALL ACCOUNTS</b>	<b>NET DEPOSITS</b>	<b>NET DISBURSEMENTS</b>	<b>ACCOUNT BALANCES</b>
*****0724 AUS DIP-0724	\$90,905.50	\$23,583.36	\$0.00
*****0738 AUS DIP-0738	\$485,120.44	\$0.00	\$0.00
*****0864 AUS Opr - Comm	\$65,922.00	\$0.00	\$0.00
*****6600 Checking	\$231,292.31	\$492,909.84	\$0.00
*****0022 Demand Deposit Account	\$0.00	\$2,834.30	\$353,912.75
	<b>\$873,240.25</b>	<b>\$519,327.50</b>	<b>\$353,912.75</b>